UNITED STATES DISTRICT COURT

for the

Northern District of California

Northern District of California				
Securities and Exchange Commission)))			
Plaintiff(s))			
V.) Civil Action No. 3:14-cv-04188			
)			
Michael B. Ferguson and Transactions Unlimited)))			
Defendant(s))			
SUMMONS IN	N A CIVIL ACTION			
To: (Defendant's name and address) Michael B. Ferguson and Transactions Unlimited c/c/o Christopher P. Parring Foley & Mansfield 250 Marquette Avenue, S Minneapolis, MN 55401	o Michael B. Ferguson gton, Esq.			
A lawsuit has been filed against you.				
Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Sheila E. O'Callaghan and Robert J. Durham Securities and Exchange Commission 44 Montgomery Street, Suite 2800 San Francisco, CA 94104-4802				
If you fail to respond, judgment by default will be You also must file your answer or motion with the court.	e entered against you for the relief demanded in the complaint.			
	CLERK OF COURT			
Date:				
<u> </u>	Signature of Clerk or Deputy Clerk			

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Civil Action No. 3:14-cv-04188

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

was re	This summons for (nanceived by me on (date)	ne of individual and title, if any)				
	☐ I personally served	the summons on the individual a	at (place)			
			on (date)	; or		
	☐ I left the summons	at the individual's residence or u				
		, a person of suitable age and discretion who resides there,				
	on (date), and mailed a copy to the individual's last known address; or					
	☐ I served the summons on (name of individual)					
	designated by law to a	accept service of process on beha				
			on (date)	; or		
	☐ I returned the sumn	nons unexecuted because		; or		
	☐ Other (specify):					
	My fees are \$	for travel and \$	for services, for a total of \$	0.00		
	I declare under penalty	of perjury that this information	is true.			
Date:						
			Server's signature			
			Printed name and title			
			Server's address			

Additional information regarding attempted service, etc: